

09-28-01

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Patent  
Attorney Docket: 267/172

09/26/01  
JC971 U.S. PTO  
09/965620

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

JC971 U.S. PTO  
09/965620  
09/26/01

NEW APPLICATION TRANSMITTAL - UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

**Inventor(s):** Randall M. Katz and Gary Dawson

**Title:** NOVEL GAMES, AND METHODS AND APPARATUS FOR GAME  
PLAY IN GAMES OF CHANCE

**I. PAPERS ENCLOSED HERewith FOR FILING UNDER 37 CFR § 1.53(b):**

- 25 Page(s) of Written Description  
11 Page(s) Claims  
1 Page(s) Abstract  
14 Sheets of Drawings ☒ Informal ☐ Formal

**II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:**

- ☐ Declaration  
☐ Power of Attorney ☐ Separate ☐ Combined with Declaration  
☐ Assignment to \_\_\_\_\_ and assignment cover sheet  
☐ Certified Copy of Priority Document No(s):  
☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations  
☐ Preliminary Amendment  
☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach  
form PTO/SB/35  
☒ Return Postcard  
☐ Other:

**III. PRIORITY**

- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under  
35 U.S.C. § 119.

OC-92896.1

CERTIFICATE OF MAILING  
(37 C.F.R. § 1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL494995707US  
Express Mail Label No.

September 26, 2001  
Date of Deposit

Denise N. Doss  
Name of Person Mailing Paper

*Denise N. Doss*  
Signature of Person Mailing Paper

IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

☒ Applicant claims small entity status pursuant to 37 CFR § 1.27

BASIC FILING FEE:						\$710.00
Total Claims	-	20	=	0	x \$18.00	\$0.00
Independent Claims	-	3	=	0	x \$80.00	\$0.00
Multiple Dependent Claims	\$270	(if applicable)			<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS						\$710.00
Reduction by ½ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28.						\$0.00
Misc. Filing Fees (Recordation of Assignment -- \$40)						\$0.00
TOTAL FEES DUE HERewith						\$710.00

V. METHOD OF PAYMENT OF FEES

- ☐ A check in the amount of \_\_\_\_.
- ☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \_\_\_\_.
- ☒ This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12-2475 for the following:

- ☐ 37 CFR § 1.16 – (Filing fees and excess claims fees)
- ☐ 37 CFR § 1.17 – (Any application processing fees)
- ☐ 37 CFR § 1.21 – (Assignment recording fees)

VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

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Please direct all inquiries to David B. Murphy, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

Dated: September 26, 2001

By:

David B. Murphy  
Reg. No. 31,125